

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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APPLICATION NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
09/743859	SCHROCK	R M0925/7069

TIMOTHY J OYER
WOLF GREENFIELD & SACKS
600 ATLANTIC AVENUE
BOSTON, MA 02210

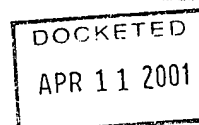
INTERNATIONAL APPLICATION NO	
PCT/US99/15816	
I.A. FILING DATE	PRIORITY DATE
13 JUL 99	13 JUL 98
DATE MAILED 04 JUL 2001	

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.
☒ Translation of the international application into English.
☐ Oath or Declaration of inventor(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☒ Translation of Annexes to the International Preliminary Examination Report into English.
☐ Preliminary amendment(s) filed _____ and _____.
☐ Information Disclosure Statement(s) filed _____ and _____.
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Verified Statement Claiming Small Entity Status.
☐ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

File Folder	ECB	✓
Docket	✓	✓
Docket	✓	✓
Order Copies	✓	✓
Annulment	✓	✓
Confirmation	✓	✓



2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(c)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

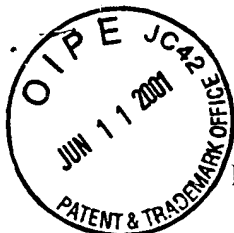
A copy of this notice *MUST* be returned with this response.

Enclosed:

- ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Paulette Kidwell, Paralegal
Telephone: 703-305-3656



JC17 Rec'd T/PTO 11 JUN 2001

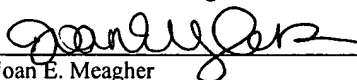
Attorney's Docket No.: M0925/7069 TJO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard R. Schrock et al.
Serial No.: 09/743,859
Filed: January 16, 2001
For: ASYMMETRIC METATHESIS REACTIONS INVOLVING ACHIRAL AND MESO SUBSTRATES

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on the 4th day of June, 2001.


Joan E. Meagher

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Repln. Ref: 07/03/2001 UEDUVIJE 0006470000
DA: 23/2825 Name/Number: 09743859
FC: 704 \$65.00 CR

Transmitted herewith are the following documents:

- ☒ Copy of Notification of Missing Requirements
- ☒ Declaration for Patent Application
- ☒ Petition for 1 month extension of Time
- ☒ Small Entity Declaration
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$185.00 (\$130.00 surcharge, \$55.00 extension fee) is enclosed. If this amount is insufficient or if additional extensions of time are necessary, please charge the balance to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

06/14/2001 UEDUVIJE 00000072 232825 09743859

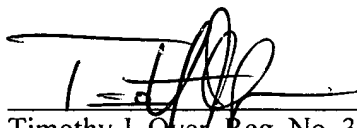
01 FC:154 130.00 NP
01 FC:154 01 55 01

Adjustment date: 07/03/2001 UEDUVIJE
06/14/2001 UEDUVIJE 00000072 09743859
01 FC:154 -130.00 NP

07/03/2001 UEDUVIJE 00000003 09743859

01 FC:254 65.00 NP
Docket No.: M0925/7069
Date: June 4, 2001
x06/04/01

Respectfully submitted,



Timothy J. Oyer, Reg. No. 36,628
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617) 720-3500
Fax (617) 720-2441